

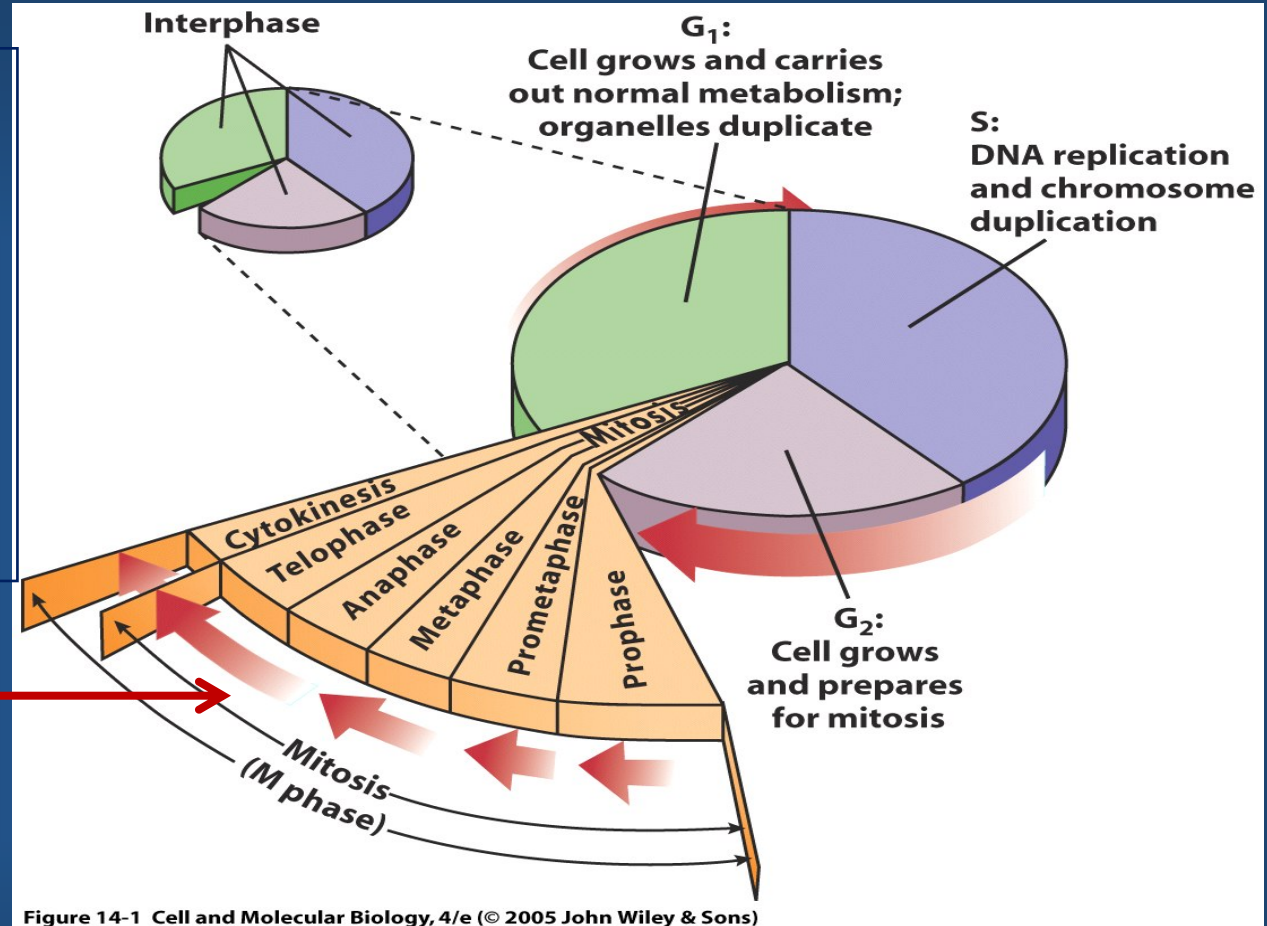
# Neues Coating-Konzept beim Drug Eluting Balloon

S.H. Duda



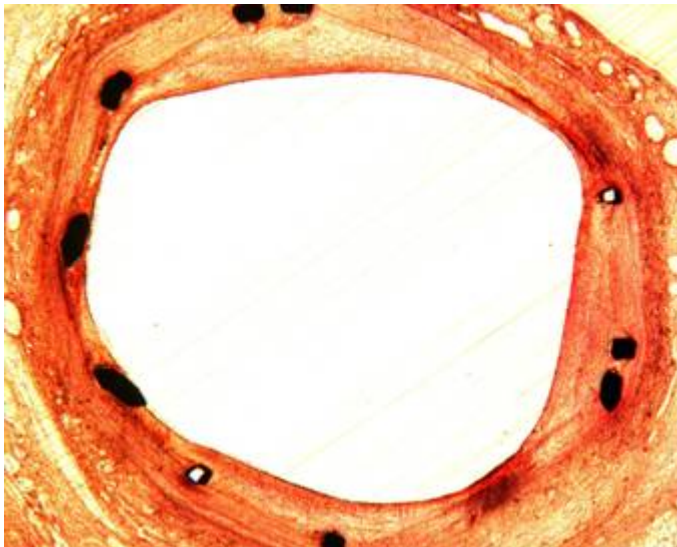
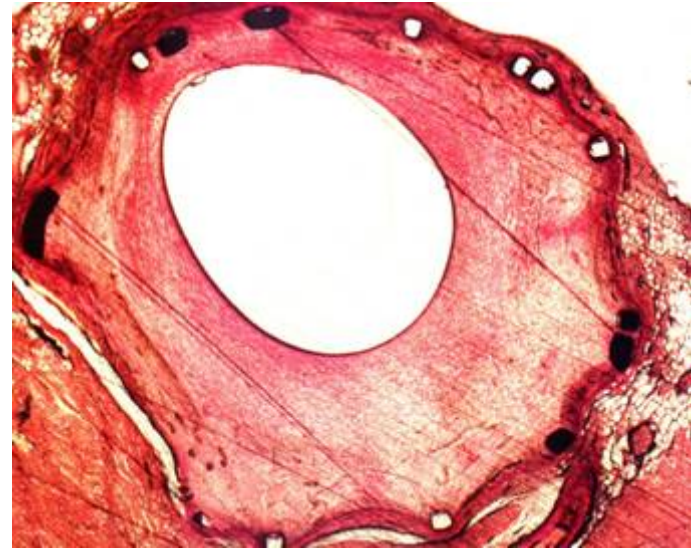
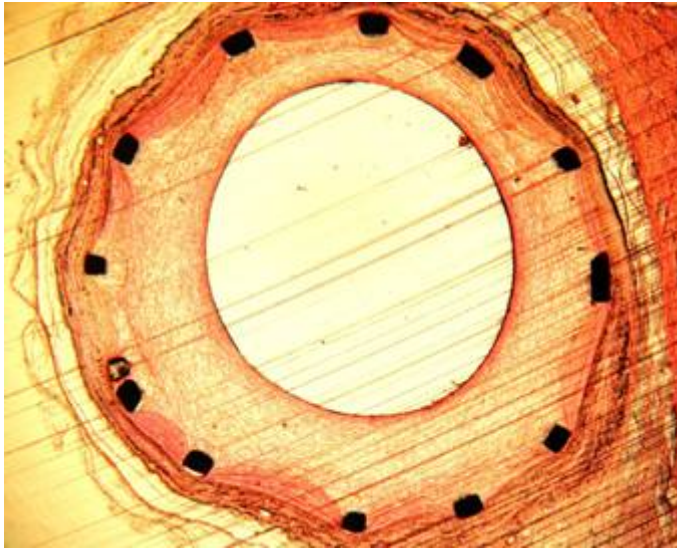
# Proven effectiveness of Paclitaxel to prevent restenosis

**Paclitaxel blocks transition between metaphase and anaphase and induces cell death**



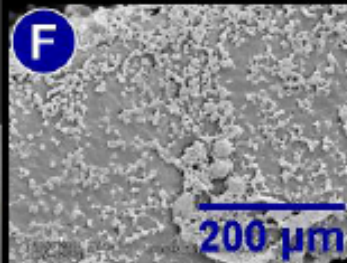
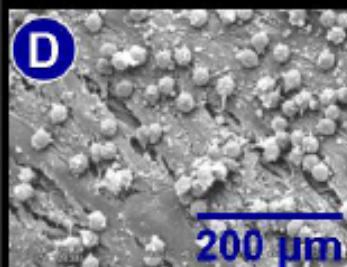
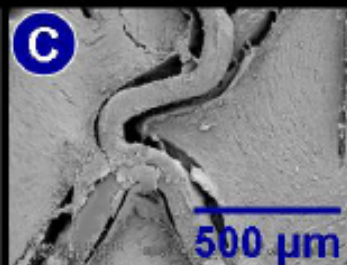
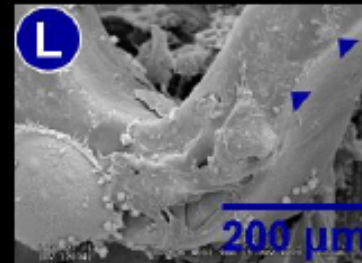
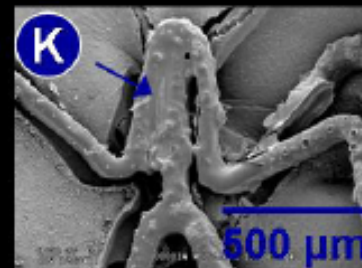
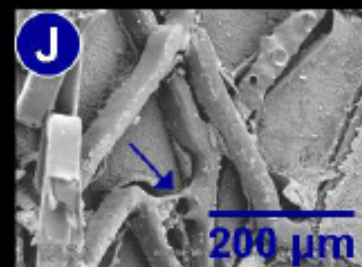
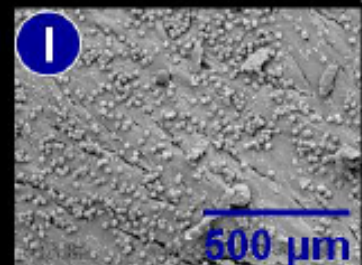
**Paclitaxel targets microtubules**

# Approach: Paccocath



Coronary arteries of pigs



**BxVelocity****Cypher****Express****Taxus**

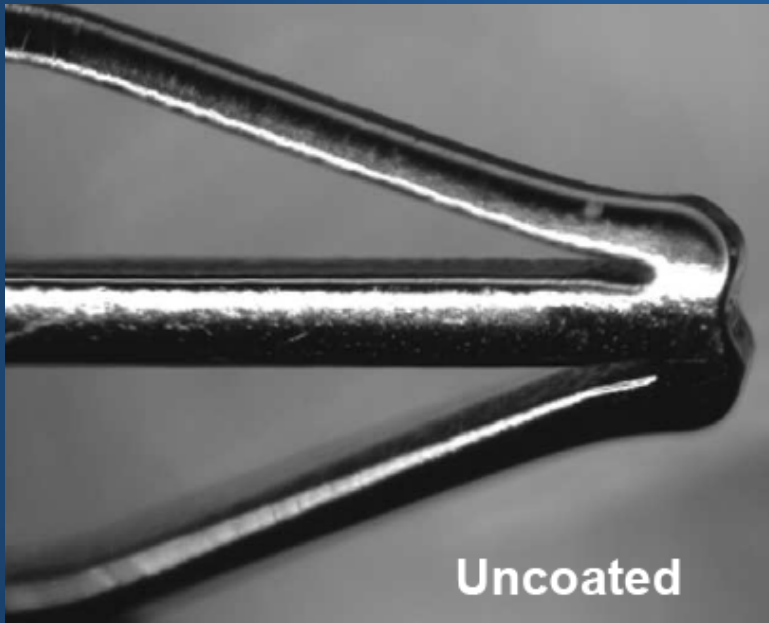
# DES Issues

- 1) Stent Fractures in the SFA
- 2) Polymer: Adverse Effects
- 3) Delayed Healing

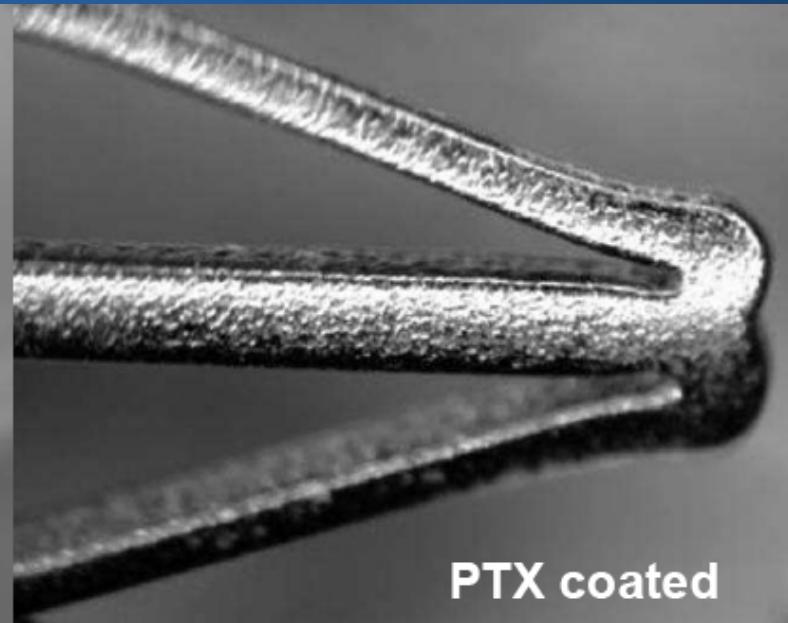


# Zilver<sup>®</sup> PTX<sup>™</sup>

- **Paclitaxel only**
  - **No polymer or binder**
  - **3  $\mu\text{g}/\text{mm}^2$  dose density**
- **Zilver<sup>®</sup>, self-expanding nitinol stent**



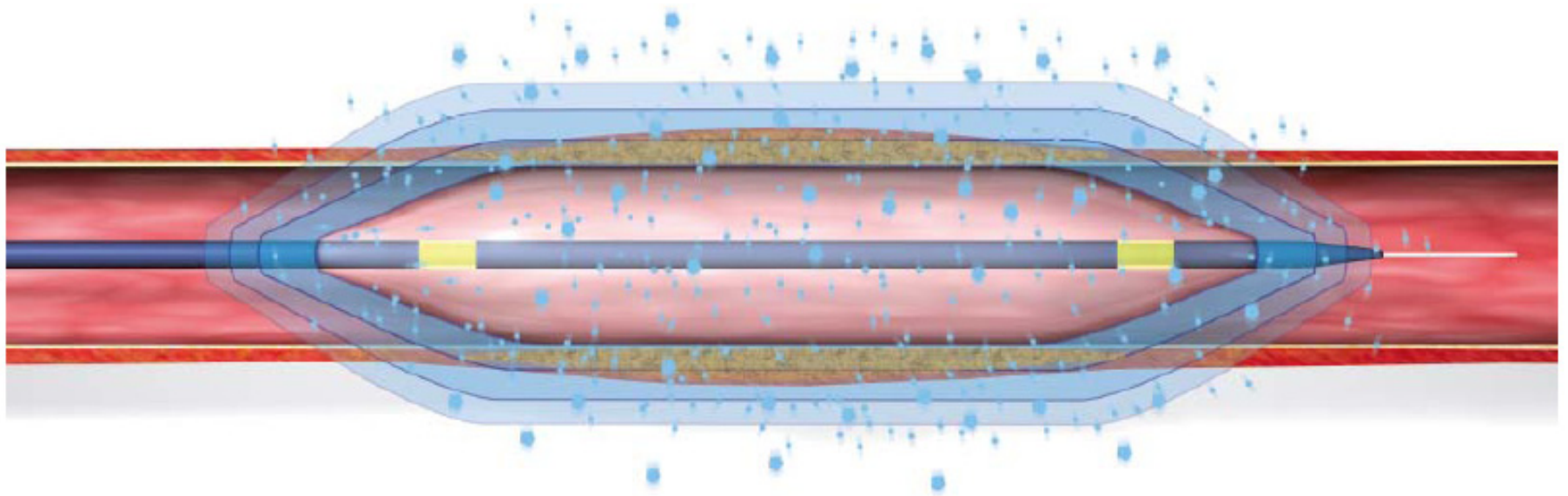
Uncoated



PTX coated



# DEB Concept



# Some DCBs Work, others Do NOT

## Success:

| TLR at 2 years    |                                     |                           |            |             |              |
|-------------------|-------------------------------------|---------------------------|------------|-------------|--------------|
| <u>Indication</u> | <u>Study</u>                        | <u>Formulation</u>        | <u>DCB</u> | <u>POBA</u> | <u>TAXUS</u> |
| Coronary ISR      | Paccocath ISR I & II <sup>1,2</sup> | Paclitaxel +<br>Iopromide | 4%         | 37%         |              |
|                   | PEPCAD II <sup>3*</sup>             |                           | 6%         |             | 15%          |
| SFA               | THUNDER <sup>4</sup>                |                           | 15%        | 52%         |              |
|                   | FemPac <sup>5</sup>                 |                           | 13%        | 50%         |              |

<sup>1</sup>Scheller 2006 *NEJM* 355(20):2113-24. <sup>2</sup>Scheller 2008 *Clin Res Cardiol* 97(10):773-81. <sup>3</sup>Unverdorben 2009 *CIRC* 119(23):2986-94; \*TLR at 1 years for PEPCAD II; follow-up ongoing but only 12 month data reported. <sup>4</sup>Tepe 2008 *NEJM* 358(7):689-99. <sup>5</sup>Werk 2008 *CIRC* 118:1358-65.

## Failure:

| TLR at 9 months   |                          |  |            |              |               |
|-------------------|--------------------------|--|------------|--------------|---------------|
| <u>Indication</u> | <u>Study</u>             | <u>Study Flaw</u>                      | <u>DCB</u> | <u>TAXUS</u> | <u>CYPHER</u> |
| Small Vessel CAD  | Piccoletto <sup>6*</sup> | Paclitaxel <u>Alone</u>                | 32%        | 10%          |               |
| DeNovo CAD        | PEPCAD III <sup>7</sup>  | DCB w/ <u>Pre-crimped stent vs DES</u> | 11%        |              | 5%            |

<sup>6</sup>Cortese 2009 PCR Presentation: Paclitaxel-eluting balloon versus paclitaxel-eluting stent in small coronary vessel disease; \*TLR at 6 months for Piccoletto. <sup>7</sup>Hamm 2009 AHA resertation: Paclitaxel-eluting PTCA-Balloon in Combination with the Coroflex Blue Stent vs the Sirolimus Coated Cypher Stent in the Treatment of Advanced Coronary Artery Disease



**Table 1:** Key players active in developing DEB technologies

| Company   | DCB Name                                      | Drug formulation  |
|---|---|---|
| <b>Aachen Resonance GmbH</b><br>(distributed by Biotronik AG in UK, Switzerland, Benelux, Italy and Ireland). | ELUTAX®                                       | Formulated with a matrix of pure Paclitaxel                   |
| <b>B. Braun Melsungen AG</b>  | SeQuent® Please                               | Paclitaxel with ioprimide formulation (Paccocath® technology) |
| <b>Bayer AG (MEDRAD, Inc.)</b>  | Cotavance™ with Paccocath® coating technology | Paclitaxel with ioprimide formulation (Paccocath® technology) |
| <b>Caliber Therapeutics, Inc.</b>   | TADD (Targeted Angioplasty Drug Delivery)     | Rapalog-based with unknown formulation                        |
| <b>Cook Group, Inc.</b>   | Advance® 18PTX®                               | Paclitaxel with unknown additive-based formulation            |
| <b>Eurocor AG</b>   | DIOR®   | <b><i>Paclitaxel + Shellac</i></b>                            |
| <b>Invatec s.r.l.</b>   | IN.PACT™ Amphirion                            | Paclitaxel with FreePac™ hydrophilic formulation              |
| <b>Lutonix, Inc.</b>  | Unknown                                       | Paclitaxel with unknown formulation                           |

# Competition: patents

INVATEC TECHNOLOGY CENTER GMBH [CH/CH]; Hungerbühlstrasse 12 A 8500 Frauenfeld (CH) *(All Except US)*.

SCHELLER, Bruno [DE/DE]; (DE) *(US Only)*.

SPECK, Ulrich [DE/DE]; (DE) *(US Only)*.

SCHELLER, Bruno; (DE).

SPECK, Ulrich; (DE).

(EN) The present invention relates to novel combinations of balloon catheters and active ingredient preparations adhering to the surface of the balloon membrane. The present invention further relates to coating methods for producing said balloon catheters, and to the use thereof for the treatment and prophylaxis of vascular diseases.

(DE) Die vorliegende Erfindung betrifft neue Kombinationen von Ballonkathetern und an der Oberfläche der Ballonmembran haftenden Wirkstoffzubereitungen. Des weiteren betrifft die vorliegende Erfindung Beschichtungsverfahren zur Herstellung dieser Ballonkatheter sowie deren Verwendung zur Behandlung und Prophylaxe von Gefäßerkrankungen.

# Competition: patents

## (WO/2004/028582) MEDICAL DEVICE FOR DISPENSING MEDICAMENTS

Biblio. Data

Description

Claims

National Phase

Notices

Documents



Latest bibliographic data on file with the International Bureau

Pub. No.: WO/2004/028582 International Application No.: PCT/DE2003/002871  
Publication Date: 08.04.2004 International Filing Date: 26.08.2003  
Chapter 2 Demand Filed: 19.04.2004

IPC: A61L 29/16 (2006.01), A61L 31/16 (2006.01)

Applicants: SPECK, Ulrich [DE/DE]; (DE).  
SCHELLER, Bruno [DE/DE]; (DE) *(US Only)*.

Inventors: SPECK, Ulrich; (DE).  
SCHELLER, Bruno; (DE).

Agent: WABLAT, Wolfgang; Potsdamer Chaussee 48, 14129 Berlin (DE) .

Priority Data: 102 44 847.7 20.09.2002 DE

Title: (EN) MEDICAL DEVICE FOR DISPENSING MEDICAMENTS  
(DE) MEDIZINISCHE VORRICHTUNG ZUR ARZNEIMITTELABGABE



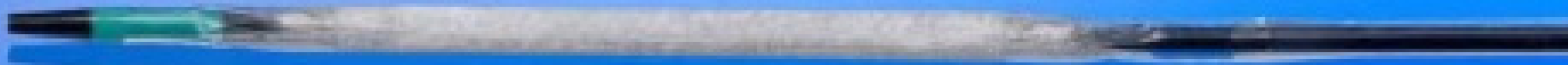
# Competition: patents

## Abstract:

(EN) For selective treatment of diseased tissue sections or organ parts, the surface of medical devices entering into contact with areas thereof under pressure is coated with lipophilic substantially water-insoluble medicaments binding to various tissue components with good adherence thereto, said medicaments having an effect thereupon a short time after entering into contact therewith without exerting a harmful influence upon adjacent healthy tissue.

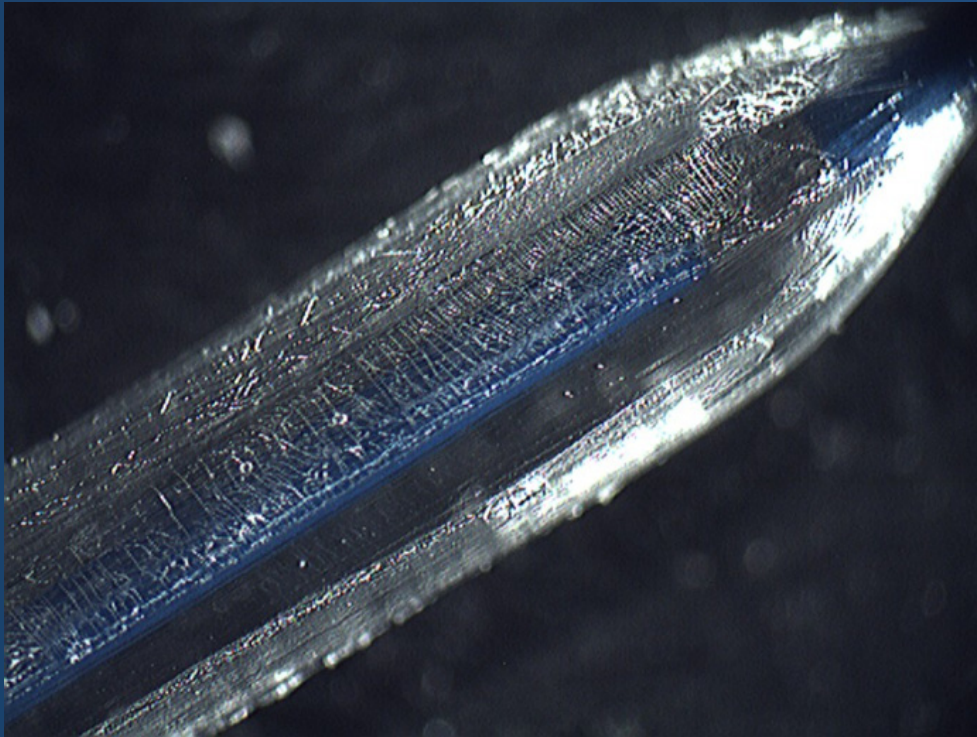
(DE) Zur selektiven Therapie erkrankter Gewebeabschnitte oder Organteile ist die Oberfläche von diese Bereiche unter Druck kontaktierenden medizinischen Vorrichtungen mit lipophilen, weitgehend wasserunlöslichen und an beliebige Gewebestandteile bindenden Arzneistoffen guthaftend beschichtet, die an der betreffenden Stelle sofort nach Gewebekontakt in nur kurzer Kontaktzeit und ohne schädigenden Einfluss auf benachbartes gesundes Gewebe ihre Wirkung entfalten.

# DEB appearance



**SeQuent<sup>®</sup> Please (coated balloon)**

# Shellac: non-milky appearance

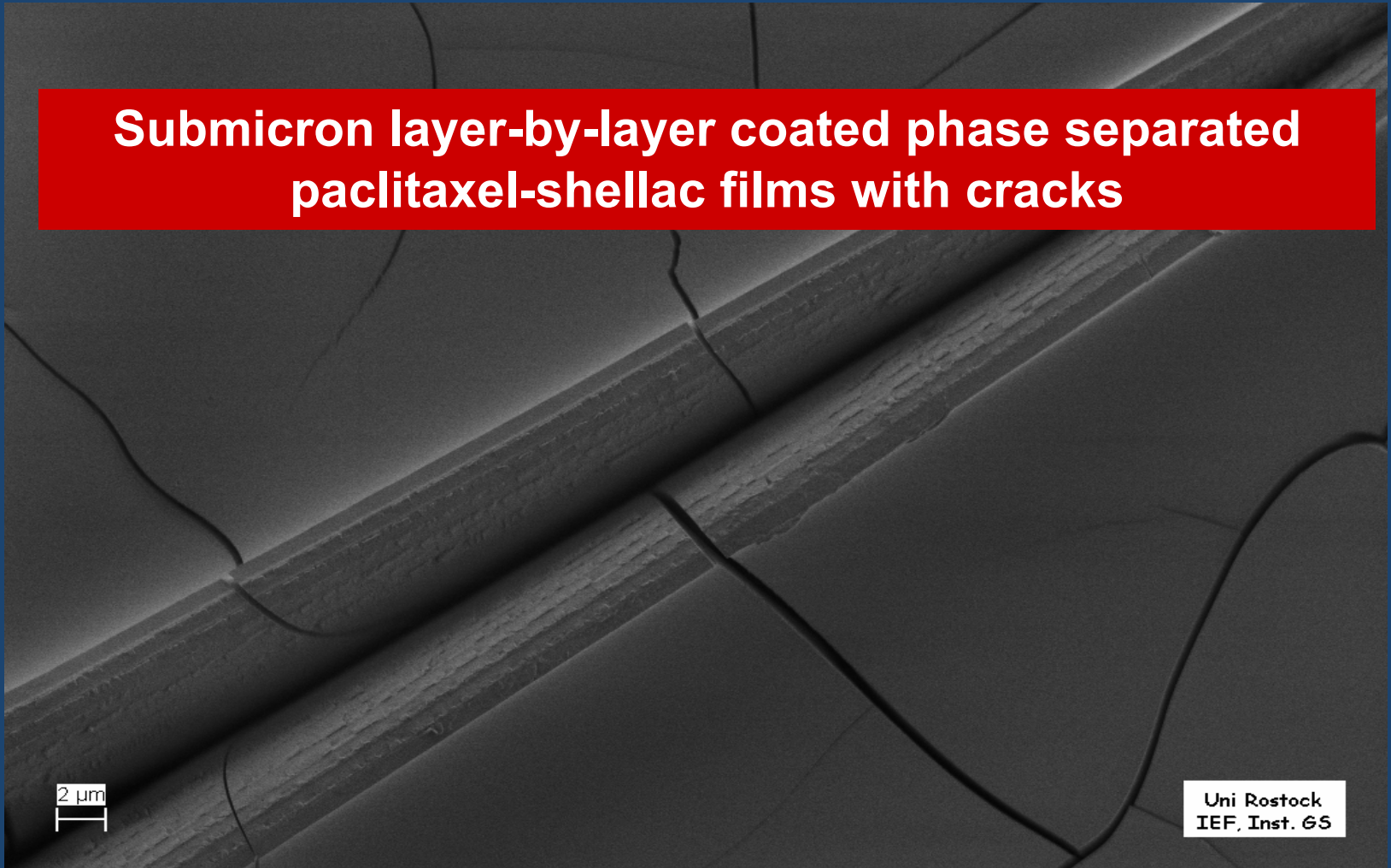


**Compared to  
competitive products  
Shellac gives balloon a  
shiny appearance**



# Coating surface: non-crystallin

**Submicron layer-by-layer coated phase separated paclitaxel-shellac films with cracks**



2 μm

Uni Rostock  
IEF, Inst. GS

# Coating surface: non-crystallin

**Low risk of micro-embolism**

2  $\mu\text{m}$

Uni Rostock  
IEF, Inst. GS

# Production technology

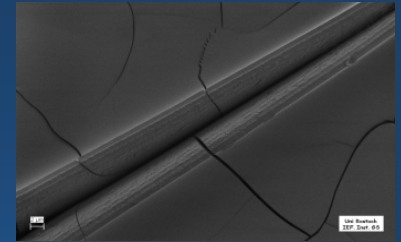
- **Micropipetting technology**

- Micropipetting ensures homogeneous and controllable method of coating

- **Coating layer by layer**

- **No-thickening by layer coating**

- Only 6  $\mu\text{m}$  layer thickness





# Production technology

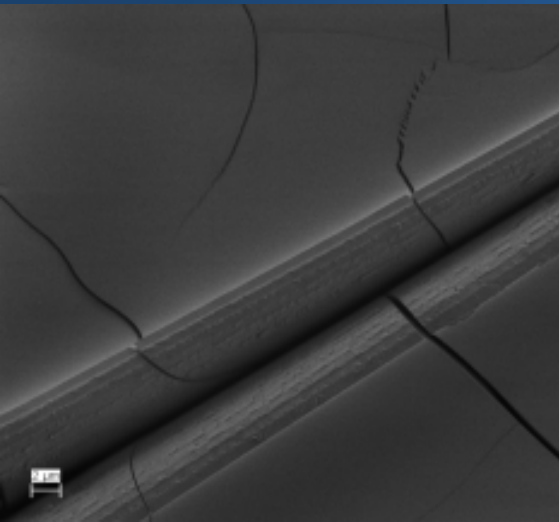
## Advantages of the micropipetting technology

- **dose control**
- **Homogeneous coating over length and diameter**
- **Reproducibility of appearance, dosage, homogeneity**
- **Balanced adherence and drug transport properties**

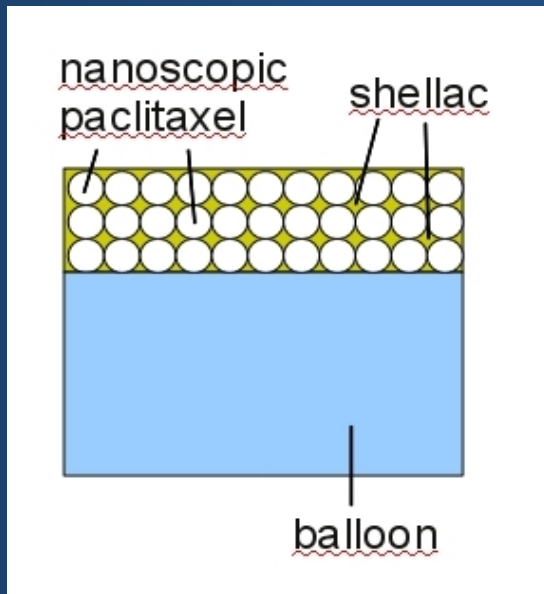
| Requirement    | Dose control     | Reproducibility  | Homogeneity      | Effect      |
|----------------|------------------|------------------|------------------|-------------|
| Spray coating  | Poor             | Good             | <b>Very good</b> | Mid         |
| Dip coating    | Very poor        | Poor             | Poor             | Very low    |
| Micropipetting | <b>Very good</b> | <b>Very good</b> | <b>Very good</b> | <b>High</b> |

# Production technology

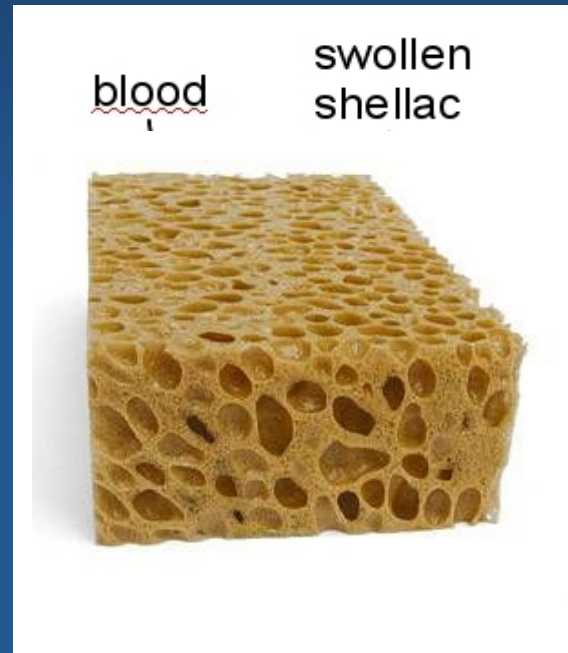
- **Micropipetting allows coating solution to go into pockets under folds**



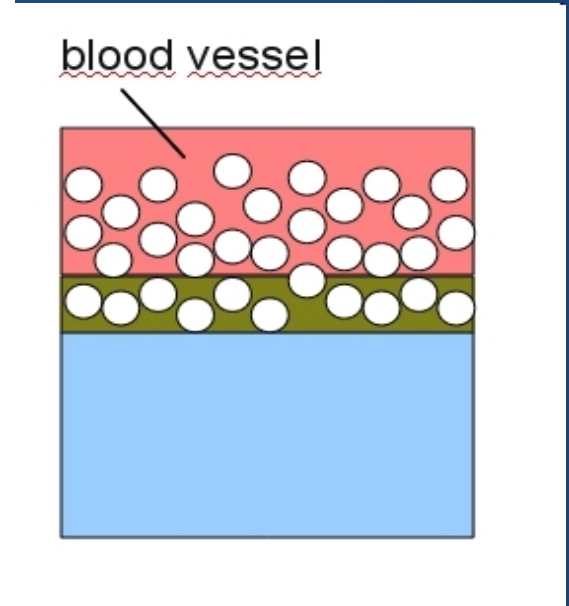
# Shellac is not a polymer



coated balloon



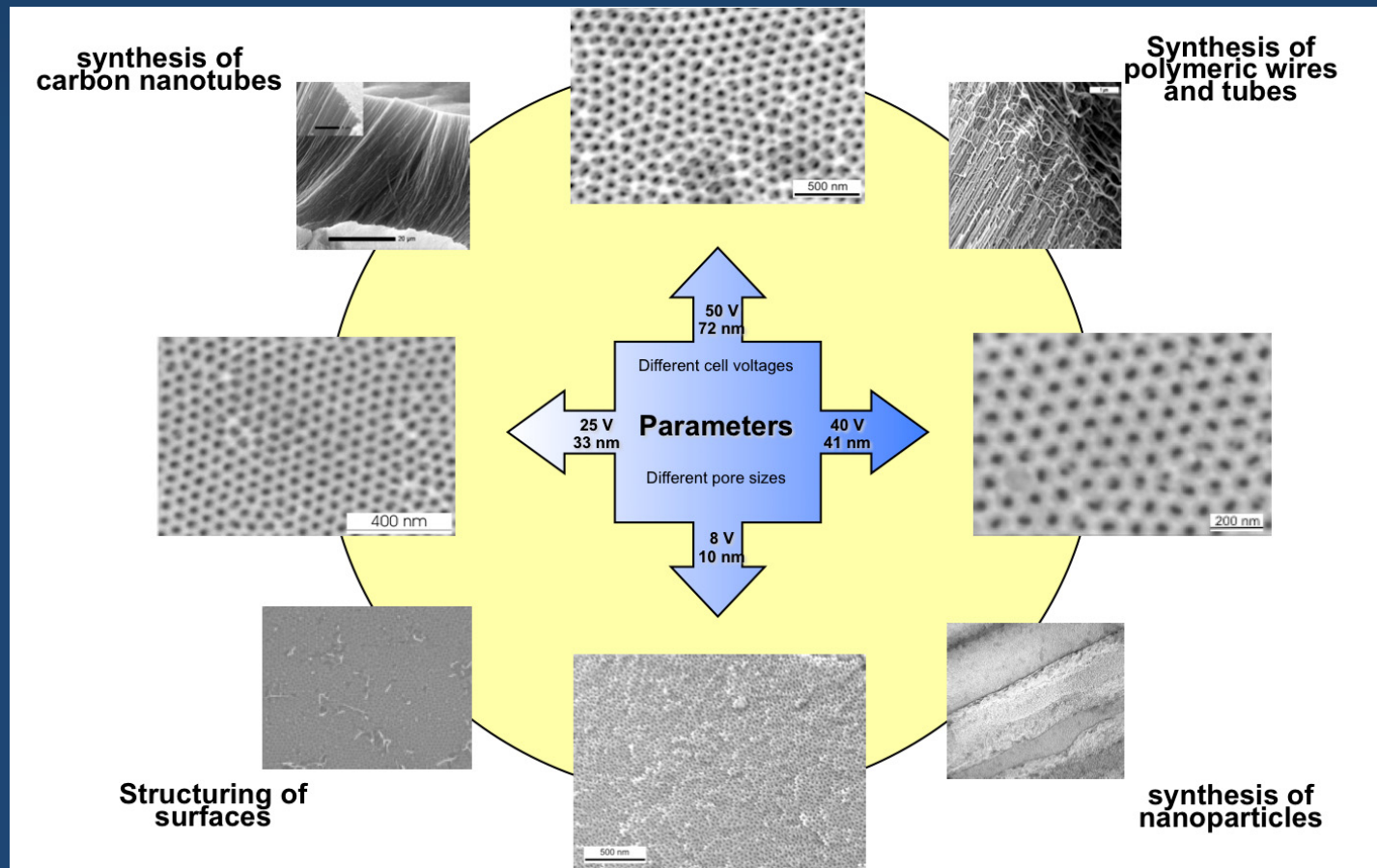
in contact with  
blood



inflation



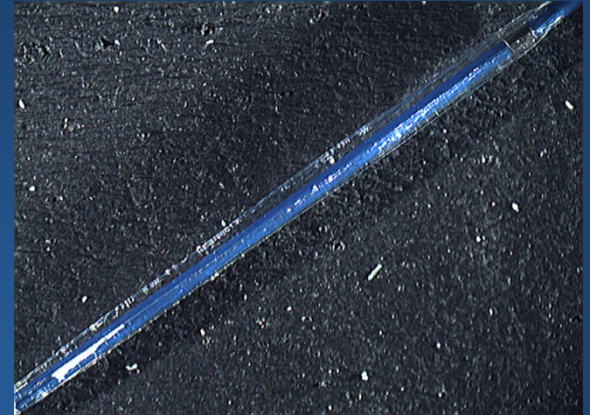
# Nanoscopic Paclitaxel



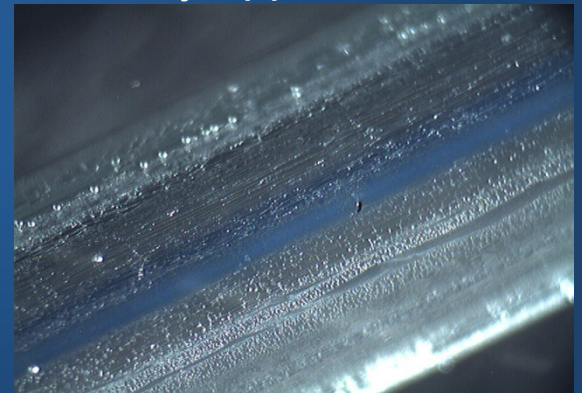
*Nanoscopically structured materials show self-organization of single shape persistent molecules into well-defined supramolecular structures*

# Shellac

- Paclitaxel balloon surface: 3  $\mu\text{g}/\text{mm}^2$
- Coating method is a 1:1 mixture of Paclitaxel (Ph.Eur. 5.0) and Shellac (Ph.Eur. 4.8)
- The Coating is CE marked
- Shellac is well established in Cosmetics, as food coating and Tablet coating.
- Shellac is recognized as safe (GRAS) by the FDA
- Balloon inflation time recommended: 30 – 45 sec. @ nominal balloon pressure



The optical refraction of Shellac gives balloon a shiny appearance



# Shellac

- Shellac: secretion product of *Kerria lacca*
- “natural plastic”





# Shellac

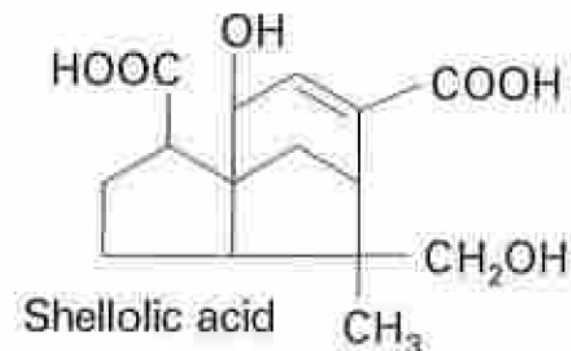
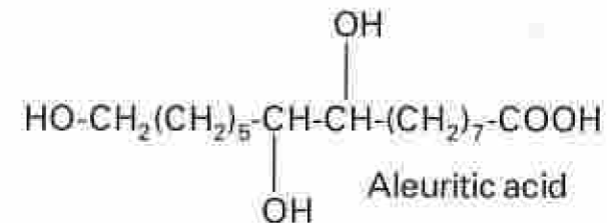
**SSB<sup>®</sup> Pharma**

**SHELLAC for  
Pharmaceutical  
Applications**

SSB<sup>®</sup> Pharma is a natural, edible and biodegradable polymer that is produced by refining the resinous secretion (more commonly known as "lac") of an insect (*Kerria lacca*). This polymer is described in the USP and Ph. Eur. as "shellac".

It is an anionic polymer based on polyesters, and consists of a mixture of polyhydroxy polycarboxylic esters, lactones and anhydrides. Principle components include aleuritic acid, shellolic acid, jalaric acid and other aliphatic acids.

SSB<sup>®</sup> Pharma is thermoplastic, and has a fairly low melting point (75°C-80°C). It is tasteless and odorless.



# Shellac



- food addition (E904)
- tablet coating (resistant to gastric acid)





# Evaluation of Shellac in case of coating for intravascular instruments – Test of *in vitro*-compatibility

**Kirsten Peters<sup>1\*</sup>**

**<sup>1</sup>Arbeitsbereich Zellbiologie (\*Nachwuchsgruppe), Universität Rostock**

# Experimental design

- **The absence of endothelialization can lead to thrombosis [Van Belle et al., 2007]**
- **Polymers could be a trigger for an inflammatory reaction**
- **An inflammatory reaction is responsible for a delayed reendothelialization and could cause destruction of neointima**

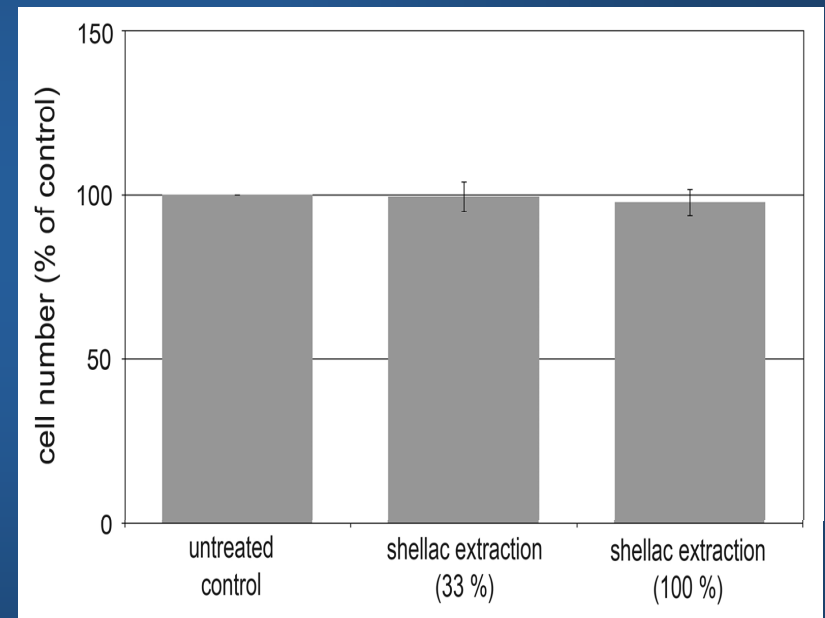
# Experimental design

- **Shellac coating was performed by spraying a commercially available shellac suspension on glass and polished titanium alloy discs**
- **Investigated cell types: Human dermal EC (HDMEC) and humane smooth muscle cell (HSMC)**
- **Exposition with extraction product and direct contact**
- **Investigation of metabolic activity**
- **Investigation of pro-inflammatory response**

# Evaluation of metabolic activity

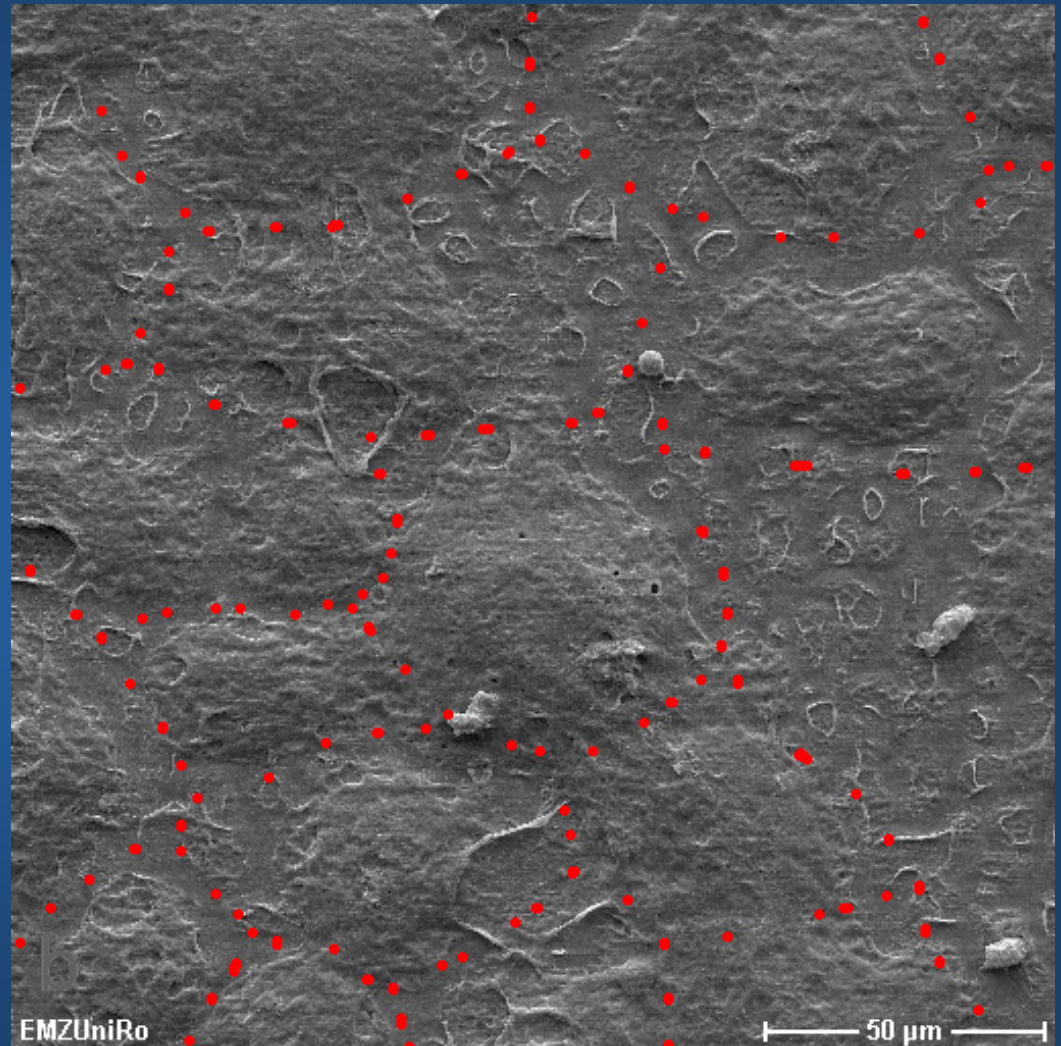
- **Shellac extraction products did not show any impairment of EC and SMC viability, proliferation as well as metabolic activity.**

## Metabolic cell activity



# Endothelial cell phenotype in contact to shellac

- **Human dermal EC (HDMEC) in vitro in direct contact to shellac coating (scanning electron microscopy)**



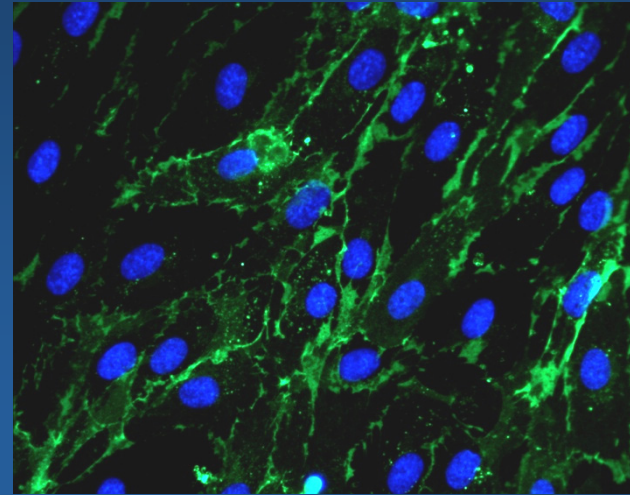
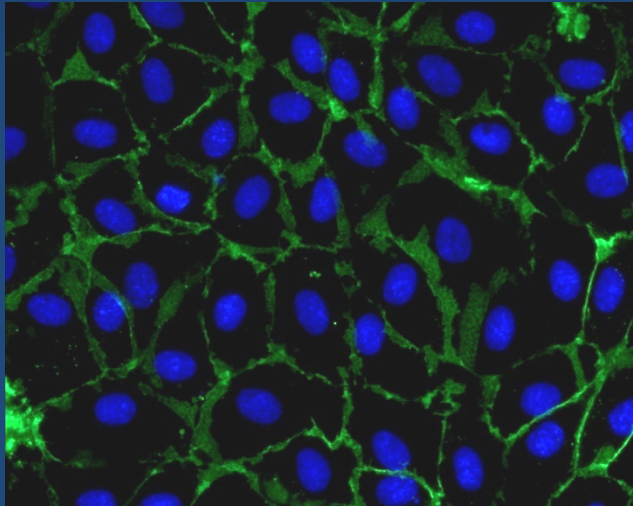


# Interendothelial contacts *in vitro*

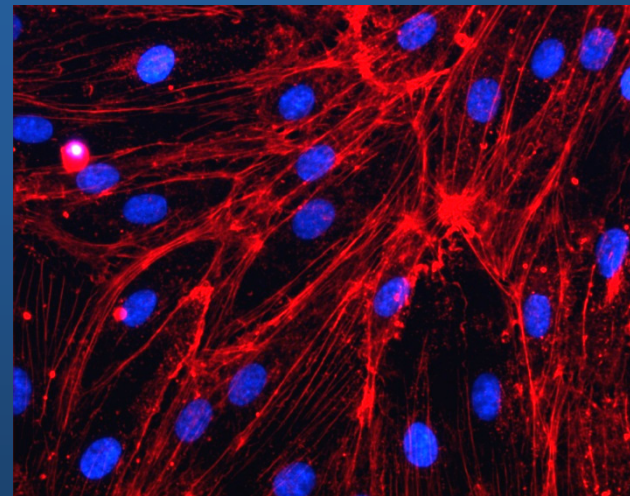
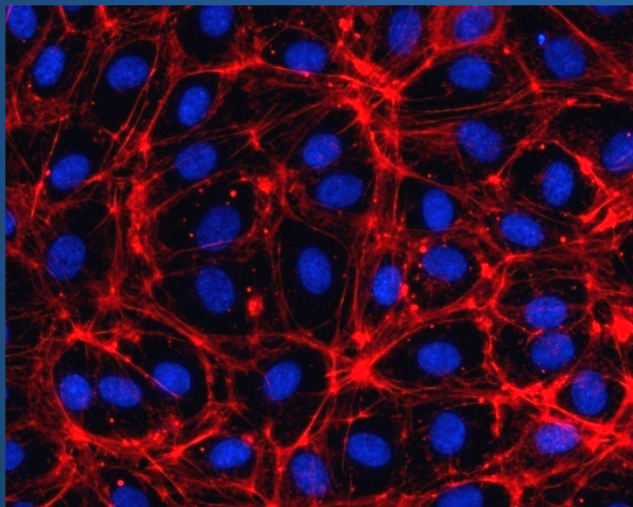
**Control**

**TNF $\alpha$  (300 U/ml, 24 h)**

**CD31**



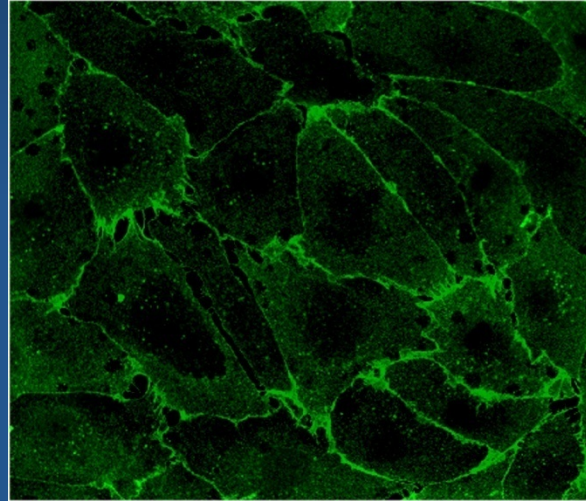
**F-  
Aktin**



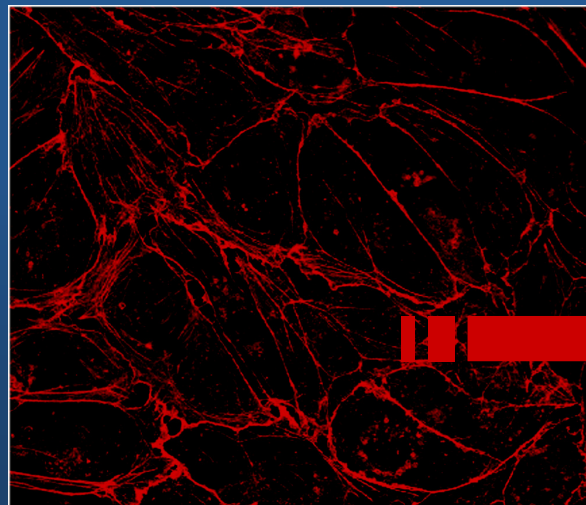
# Interendothelial contacts *in vitro*

Human dermal EC (HDMEC) *in vitro* after 24 h cell culture supernatants with Shellac extraction products

CD31



F-  
Aktin

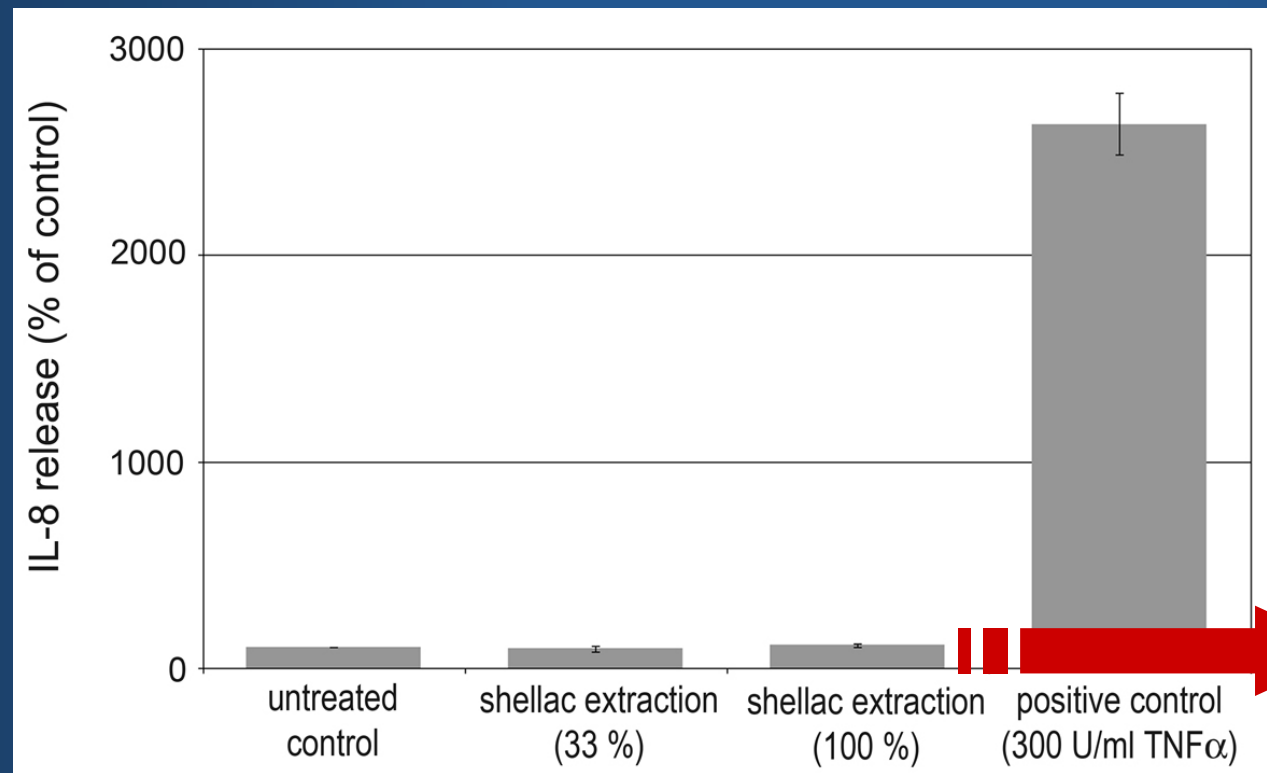


No sign of  
activation

# Pro-inflammatory activation?

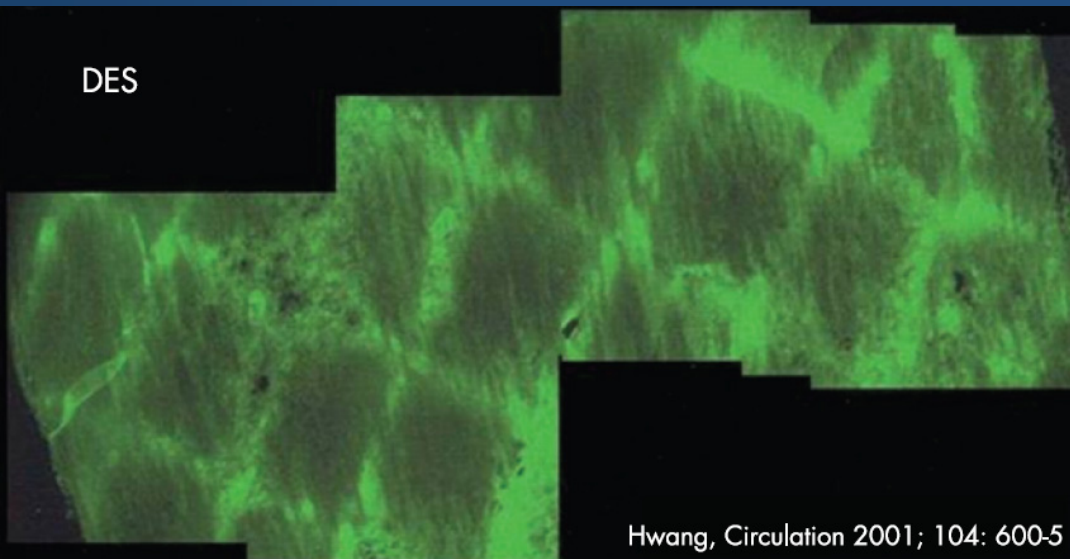
## IL-8-release

- Shellac extraction product (24 h extraction)
- Exposure of confluent Human dermal EC (HDMEC) with extraction product (24 h extraction)
- $\text{TNF}\alpha$  as positive control group

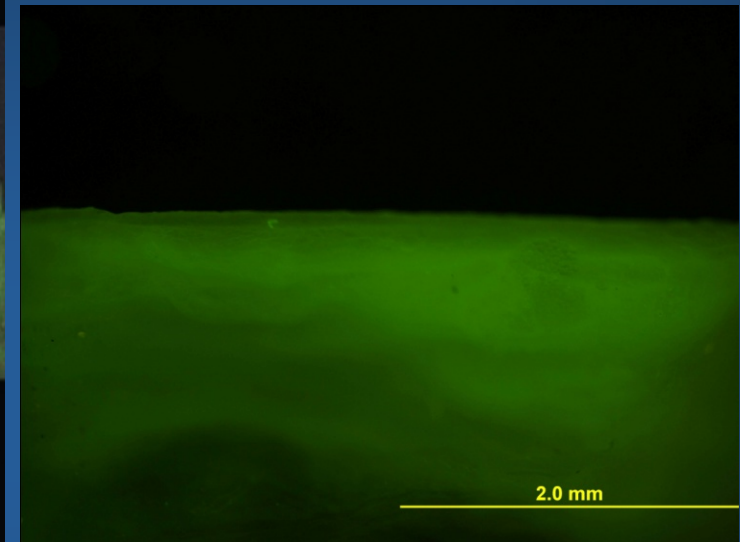


No increase in pro-inflammatory activation (shown by IL-8 release) observed

# Endothelial surface of porcine coronary arteries after Dior balloon dilation

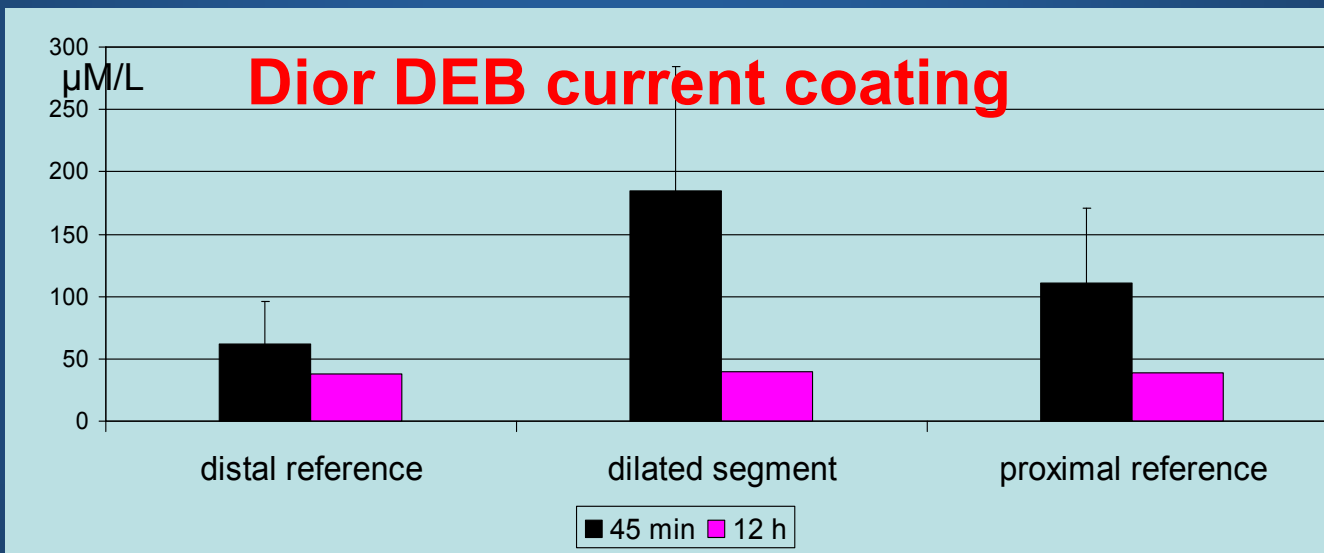
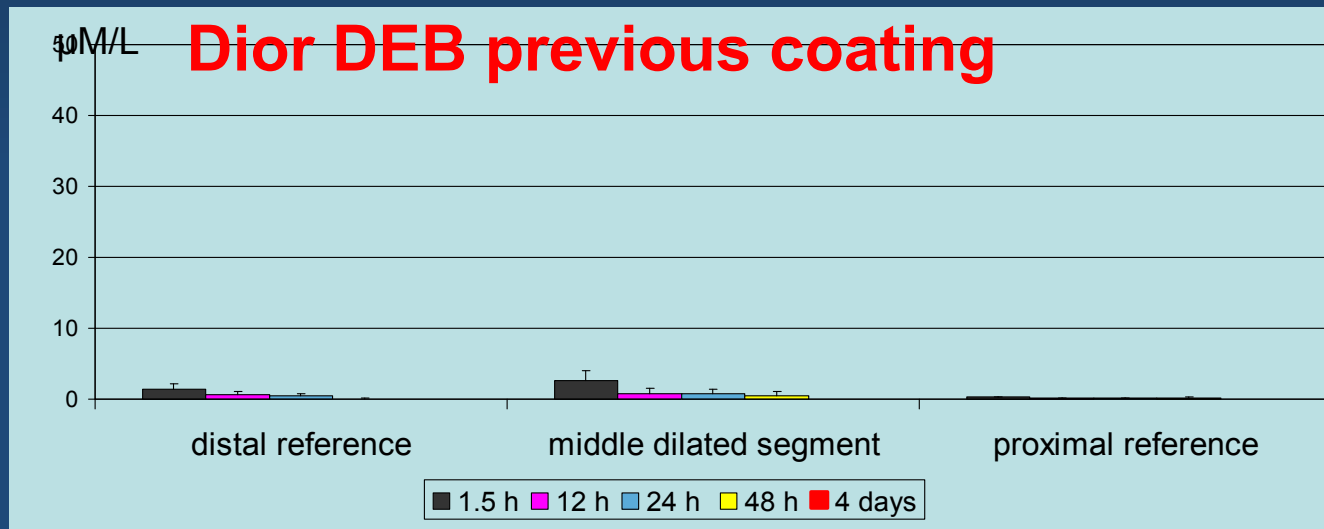


Artery post-paclitaxel-eluting stent: small amount of drug penetrated into the arterial wall, uneven drug distribution



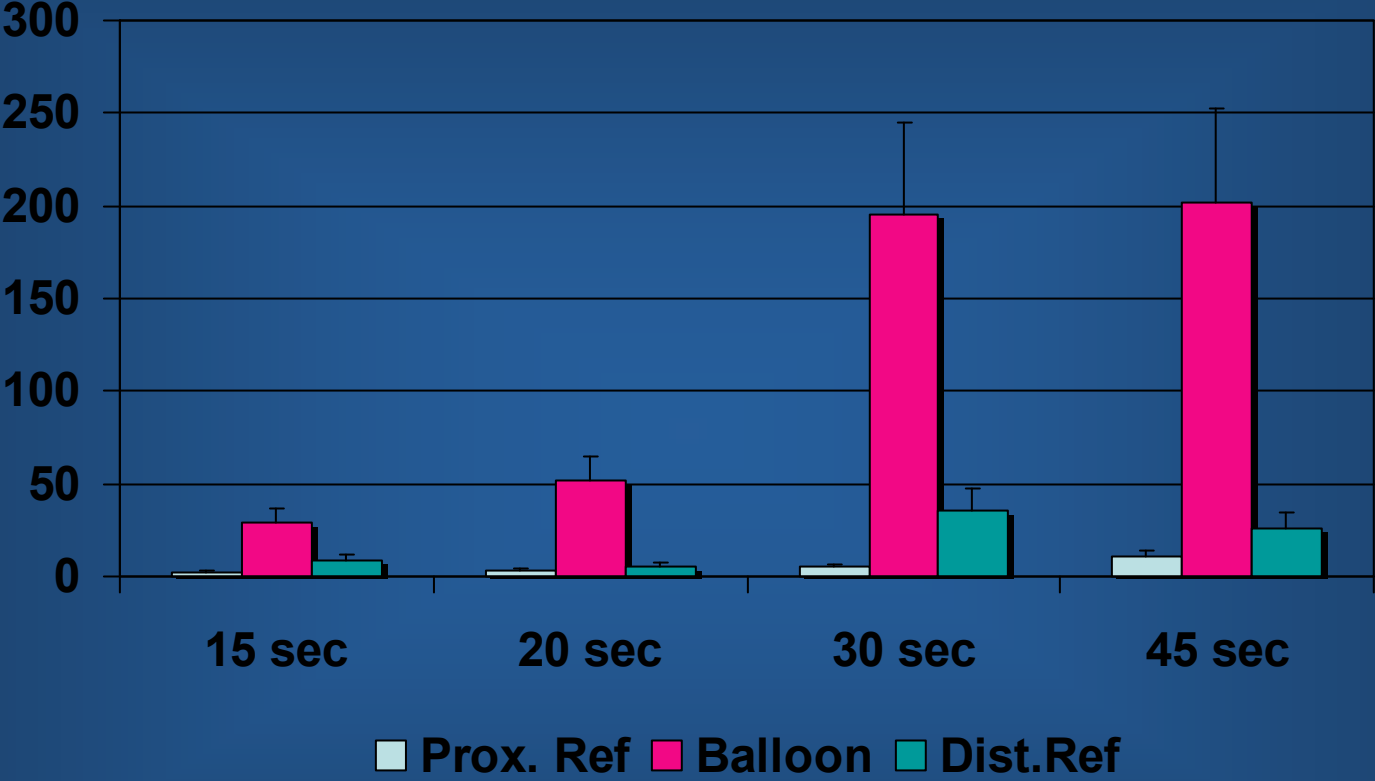
Artery post-paclitaxel-eluting Dior balloon: large amount of drug within the arterial wall, uniform drug distribution

# Artery tissue paclitaxel concentration



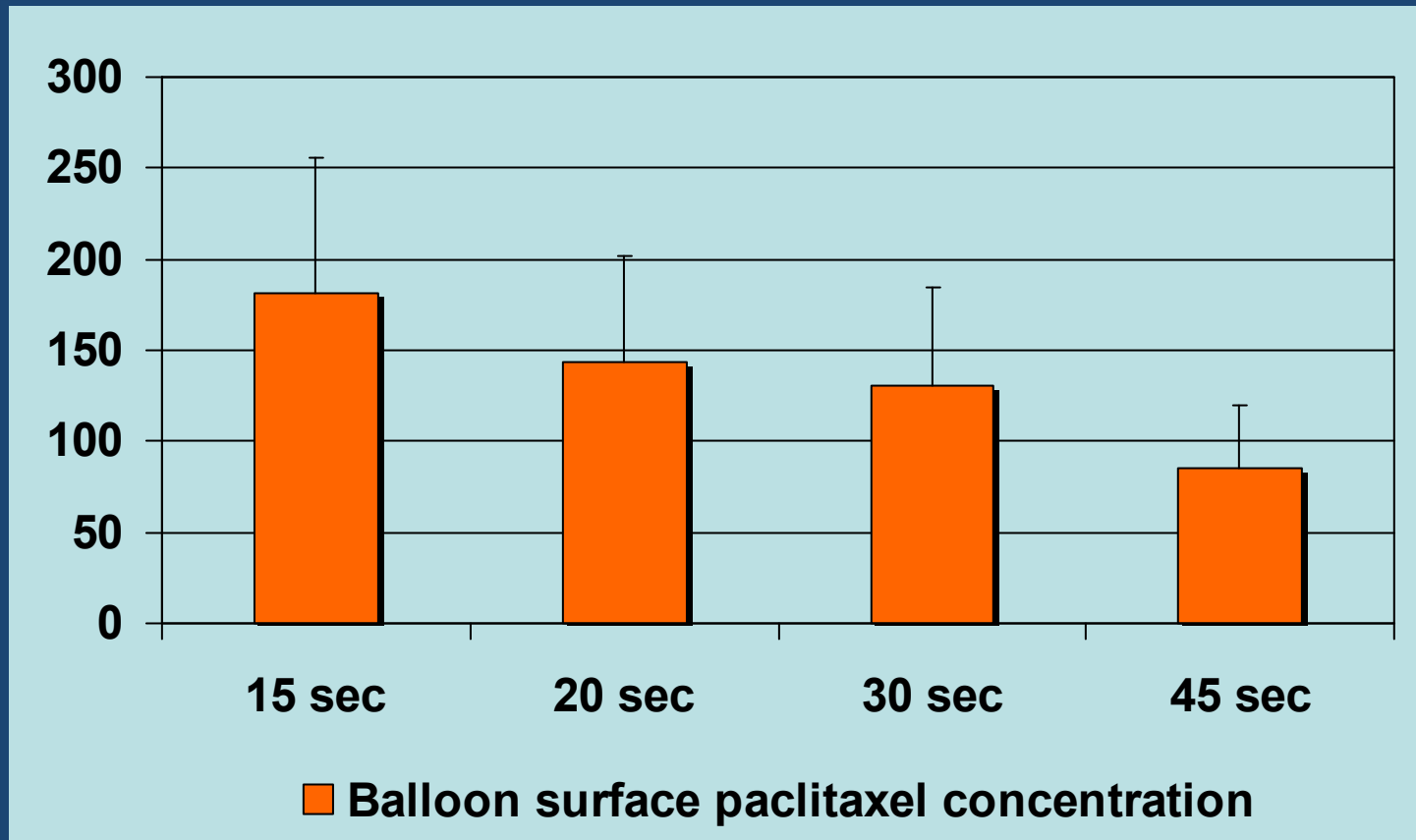


# Time-dependent tissue concentrations of paclitaxel from the Dior balloon N=29\*\*

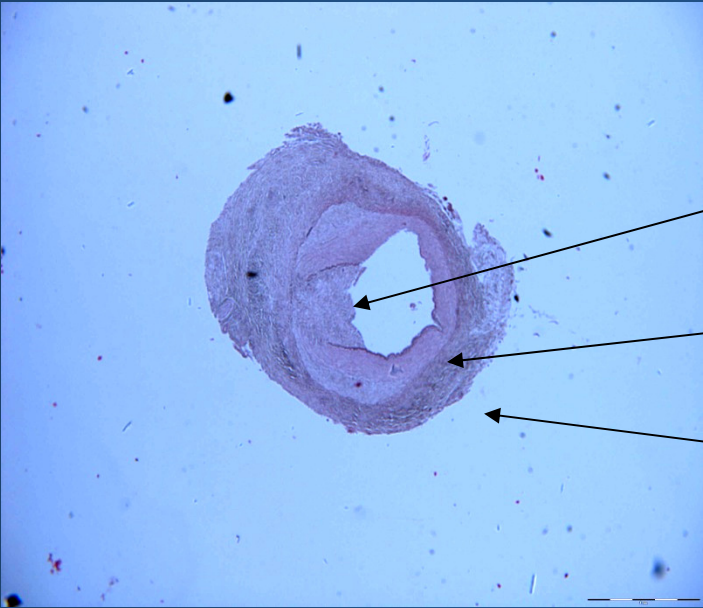


\*\* Gyöngyösi et al

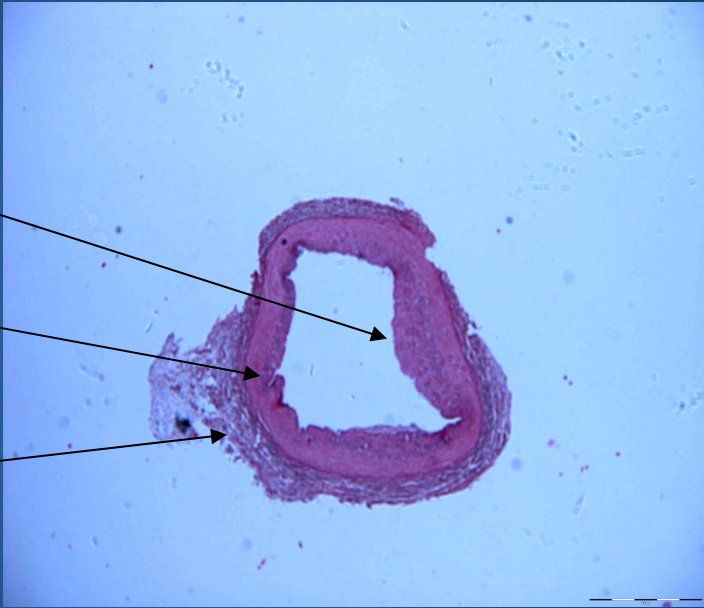
# Inflation time-dependent tissue paclitaxel concentration



# Histological results 14 days post-Balloon overstretch injury



Uncoated balloon



Dior balloon

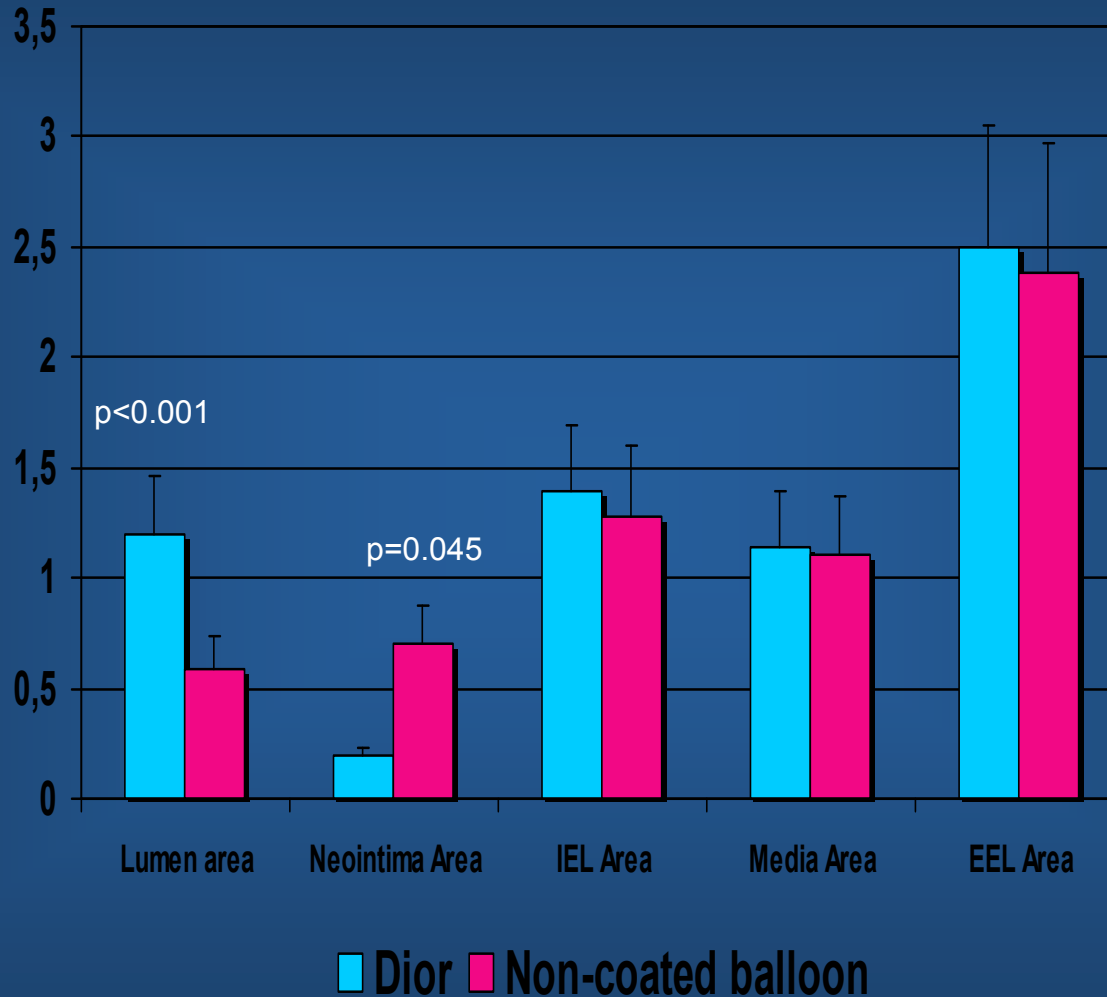
neointima

media

adventitia

# Quantitative histological results N=12 \*

mm<sup>2</sup>



\*\*Gyöngyösi et al



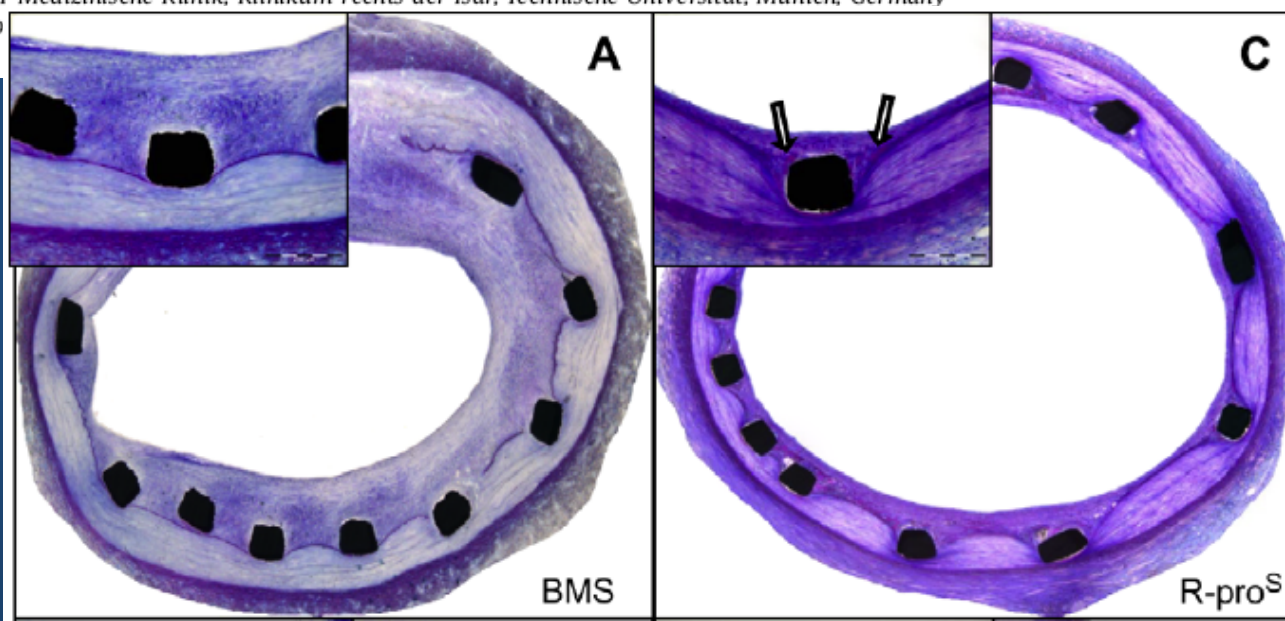
## The pre-clinical assessment of rapamycin-eluting, durable polymer-free stent coating concepts

Kristin Steigerwald<sup>a,1</sup>, Sabine Merl<sup>a,1</sup>, Adnan Kastrati<sup>a</sup>, Anna Wieczorek<sup>a</sup>, Marc Vorpahl<sup>a</sup>, Raimund Mannhold<sup>b</sup>, Michael Vogeser<sup>c</sup>, Jörg Hausleiter<sup>a</sup>, Michael Joner<sup>a</sup>, Albert Schömig<sup>a</sup>, Rainer Wessely<sup>a,\*</sup>

<sup>a</sup>Deutsches Herzzentrum and 1 Medizinische Klinik, Klinikum rechts der Isar, Technische Universität, Munich, Germany

<sup>b</sup>Molecular Drug Research Group

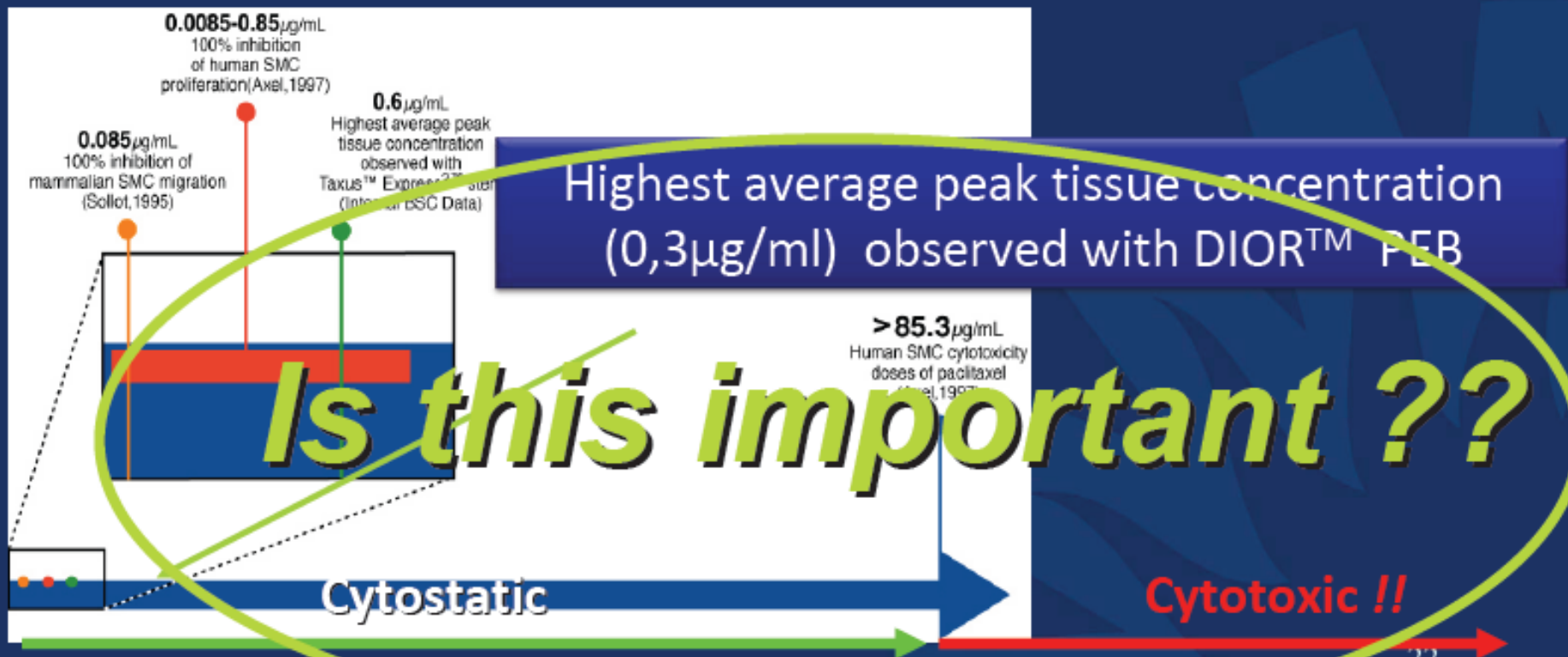
<sup>c</sup>Institut für Klinische Chemie,





# Therapeutic Window \*

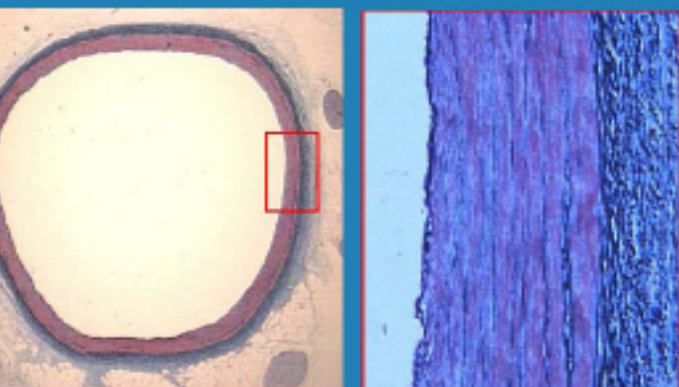
1. The ideal drug needs to inhibit cell proliferation without killing the cells.
2. Paclitaxel has a dose dependant effect associated with a large therapeutic window.



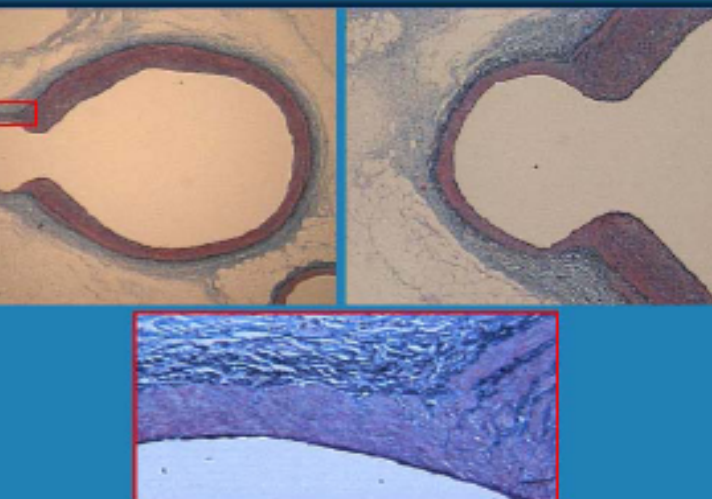
\* Axel et al: Circulation. 1997; 96:636-645; Sollot et al; J. Clin. Invest. 1995; 95: 1869-1876;

# Restenosis Inhibition - Therapeutic Window

Normal Coronary Artery in Pigs



Coronary Aneurisms After Paclitaxel – Coated Balloon in Pigs 4 wks.



Coronary Aneurisms After Paclitaxel – Coated Balloon in Pigs 4 wks.



Coronary Aneurisms After Paclitaxel – Coated Balloon in Pigs Aneurisms in High Dose PEB Group

By Dr. Echevarri –Solaci 2008 Cancun

# DEB – Randomized Clinical Trials

- **Peripheral artery disease**

- THUNDER (SFA) / uncoated balloon
- FemPac (SFA) / uncoated balloon
- Piccolo (ongoing) (BTK) / uncoated balloon
- CopaCobana (SFA in-Stent) /uncoated balloon
- River (SFA-US) / uncoated balloon
- Euro-Canal and US-Canal

## **‘Non-PACCOATH’ – randomized clinical trials for PVD**

- Advance 18 (POBA vs. DCB): Cook, 100/100 patients randomized
- Levant I (POBA vs. DCB): Lutonix, 100/100 patients randomized (Levant II planned)
- In.pact SFA: Invatec – Euro/US Study start QIII/2010
- In.pact Deep: Invatec BTK vs. POBA
- EuroCor: 2 studies in SFA
- further studies with other DCB and other drugs in the near future

# **FREEWAY STENT STUDY**

## **Stent angioplasty with Paclitaxel-coated balloons versus plain stent angioplasty for prevention of restenosis due to intimal hyperplasia in peripheral arterial occlusive disease**

**Principal Investigator:**

Prof. Dr. med. Josef Tacke

Institut für diagnostische und interventionelle Radiologie und Neuroradiologie

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Germany

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# Objective and study design

Comparison of Paclitaxel-Coated Balloon (FREEWAY) with POBA in case of postdilation of Nitinol stents in the treatment of superficial femoral (SFA) or popliteal arteries (P I segment).

Prospective, randomized, multi-center, two-armed phase-III study conducted in Austria and Germany.

200 patients

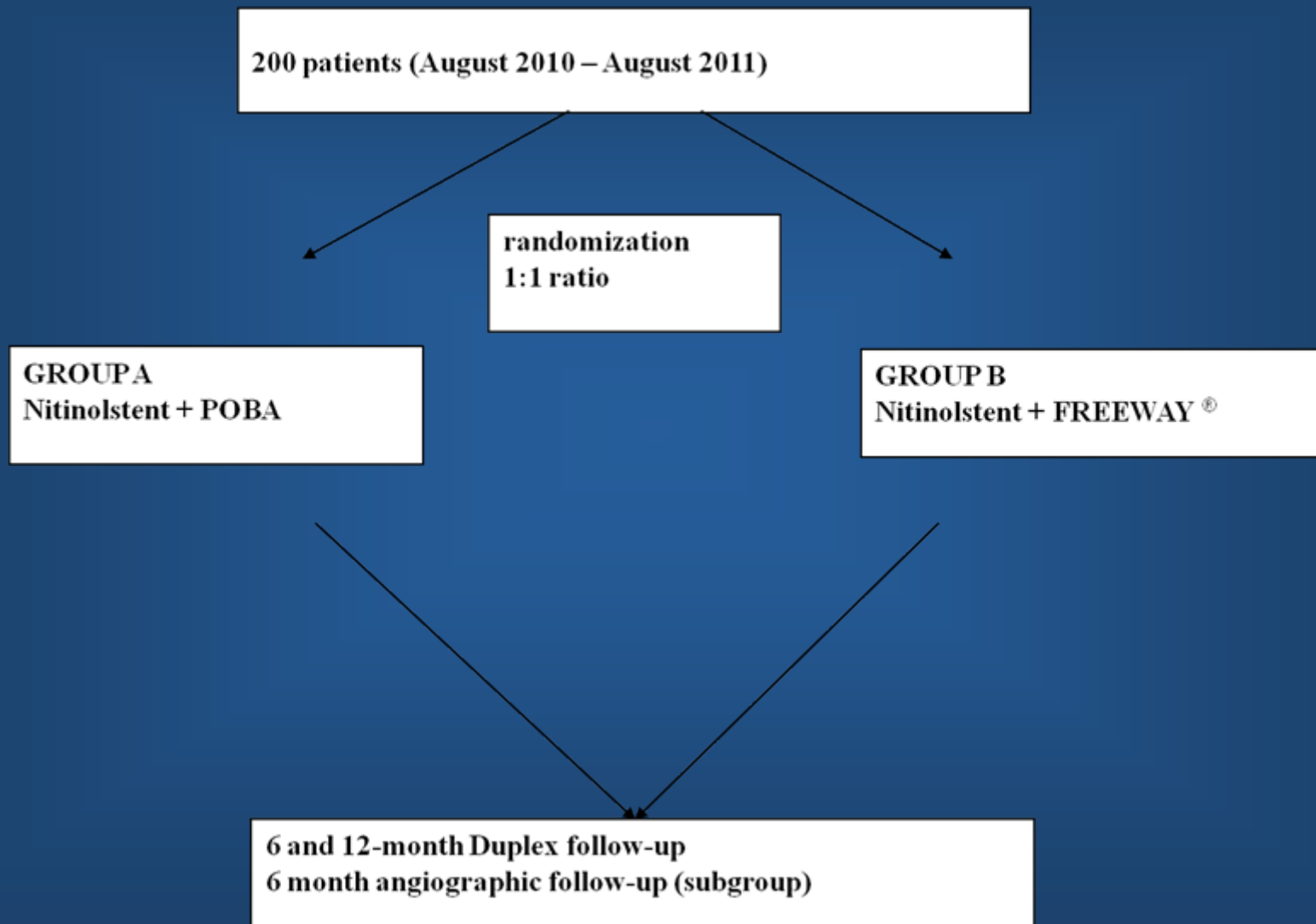
Design: POBA versus FREEWAY<sup>®</sup>

**Primary endpoint:**

▪ **Rate of clinically driven target lesion revascularization at 6 months \***



# Flowchart



# **FREERIDE STUDY**

**Prospective, Randomized, Controlled, Multicentre,  
Open Study**

**Release of Paclitaxel during PTA versus PTA alone for  
the treatment of de-novo occluded, stenotic or,  
reoccluded, restenotic superficial femoral (SFA) or  
popliteal arteries**

**Principal Investigator:**

Prof. Dr. med. Karl-Ludwig Schulte  
Vascular Center Berlin /Dept. Internal Medicine  
Ev. Hospital Königin Elisabeth Herzberge  
Academic. Teaching Hospital of the Charité Herzbergstraße 79, 10365 Berlin, Germany

# Objective and study design

Comparison of Paclitaxel-Coated Balloon (FREEWAY) with POBA in the treatment of de-novo occluded, stenotic or, reoccluded, restenotic superficial femoral (SFA) or popliteal arteries.

Prospective, randomized, multi-center, two-armed phase-III study conducted mainly in Europe.

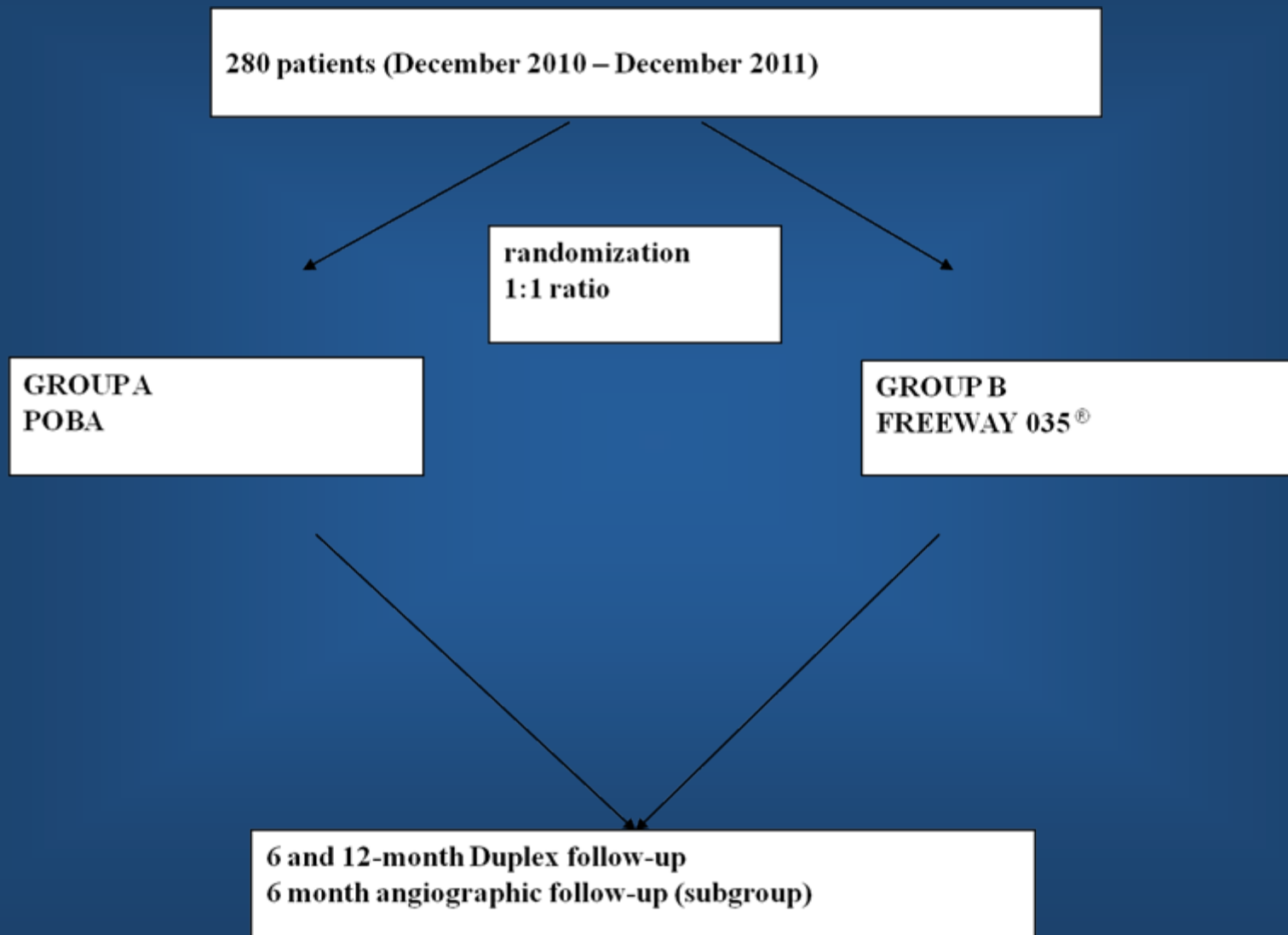
280 patients

Design: POBA versus FREEWAY 035<sup>®</sup>

**Primary endpoint:**

▪Rate of clinically driven target lesion revascularization (TLR) at 6 months \*

# Flowchart



# Conclusion on DEB Technology for PVD

Two independent trials showed efficacy of paclitaxel balloons

**BUT**

- Enthusiasm based on 100 patients (Thunder, FemPac)
- We have to learn about limitations (e.g. with stents, dose effect, calcification)
- Europe: more than 5 different DEBs under development + available:  
despite the same drug: some will work other will not!